

# Are neutral roots in Uyghur really neutral? Experimental and structural evidence



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## 1. Underlying contrasts

Diachronic changes can remove a surface contrast between two phonemes but maintain original phonological behavior

Proto-Inuit > Inuit: \*/i/ > /i<sub>1</sub>/; \*/ə/ > /i<sub>2</sub>/ (Compton and Drescher 2011)  
 • In some dialects, /i<sub>1</sub>/ triggers palatalization, /i<sub>2</sub>/ does not

How do speakers learn and represent such patterns?

This paper looks at a specific case in Uyghur

## 2. Uyghur backness harmony

**Basic pattern:** Suffixes agree in backness with final root vowel

tyr-dæ/\*-dɑ 'type-LOC'      pu-l-qa/\*-gæ 'money-DAT'  
 m-unbær-gæ/\*-ba 'podium-DAT'      æ-trap-ta/\*-tæ 'area-LOC'

The vowel /i/ is *transparent*

m-æstjit-tæ/\*-ta 'mosque-LOC'      ta-ksi-lar/\*-lær 'taxi-PL'  
 m-omin-gæ/\*-ba 'believer-DAT'      a-mil-qa/\*-gæ 'element-DAT'

*Neutral roots* with only transparent vowels vary in suffix backness

biz-gæ/\*-ba 'us-DAT'      sir-lar/\*-lær 'secret-PL'  
 ilim-gæ/\*-ba 'knowledge-DAT'      sinip-ta/\*-tæ 'classroom-LOC'

Modern /i/ corresponds to historic \*/i/ and \*/u/ (Erdal 2004)

- Roots often preserve historical behavior
- Drift towards back suffixes for less frequent words (Lindblad 1990)

## 3. Representing neutral roots

**Covert contrast analysis** (Lindblad 1990, Hahn 1991)

|          |                        |                       |
|----------|------------------------|-----------------------|
| UR       | /sur-lar/              | /biz-DA/              |
| Harmony  | sur-lar                | biz-dæ                |
| Fronting | sir-lar                | --                    |
| SR       | [sir <sup>+</sup> lar] | [biz <sup>-</sup> dæ] |

**Lexical diacritic analysis** (Mayer 2021)

|         |                             |                            |
|---------|-----------------------------|----------------------------|
| UR      | /sir <sup>+back</sup> -lar/ | /biz <sup>-back</sup> -DA/ |
| Harmony | sir <sup>+back</sup> -lar   | biz <sup>-back</sup> -dæ   |
| SR      | [sir <sup>+</sup> lar]      | [biz <sup>-</sup> dæ]      |

Can we differentiate between these two analyses?

Hungarian VH has similar neutral roots

- Tongue backness in unsuffixed neutral roots is predicted by the backness of the suffixes they take (Benus and Gafos 2007)
- Phonetic contrast suggests phonemic contrast?

Can we find similar evidence in Uyghur?

This study argues against a covert phonemic contrast in Uyghur on the basis of a lack of acoustic and structural evidence

We propose that this behavior should be accounted for by lexical specification of root behavior

## 4. Experimental study

Participants: 23 native Uyghur speakers living in Almaty, KZ

Stimuli: 29 neutral roots elicited in unsuffixed and suffixed (LOC) form

Carrier phrase: Mahinur \_\_\_\_\_ deydu  
 Mahinur will say \_\_\_\_\_

Vowels segmented by hand, F2 extracted at vowel midpoint

## 5. Analysis of vowels in unsuffixed tokens

Fit linear-mixed effects model to data (refer to proceedings paper for detail about model)

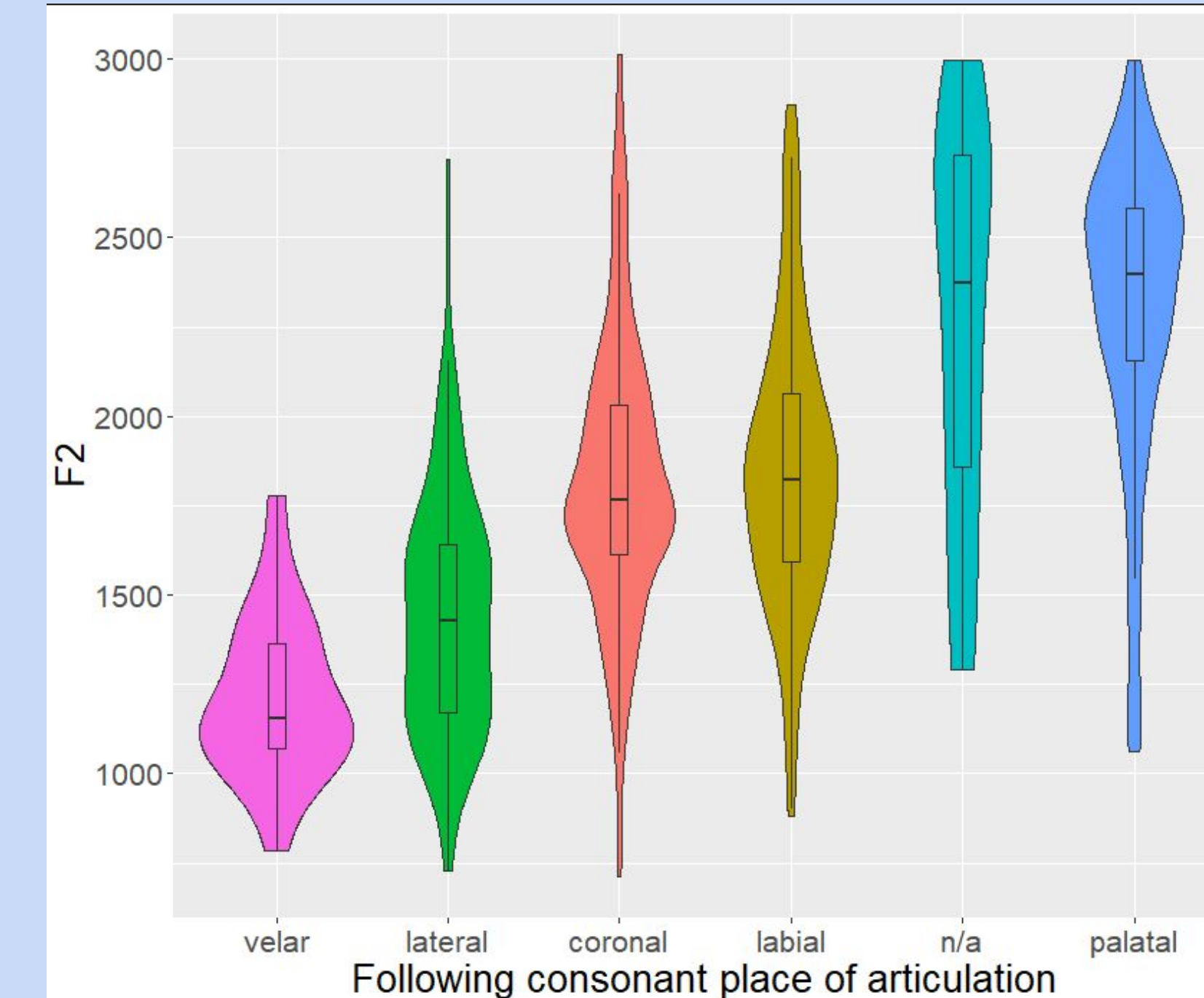
**Dependent variable**  
F2 of final vowel

**Predictors**  
Suffix choice (F or B)  
Gender  
Place of following C

**Random intercepts**  
Speaker  
Root

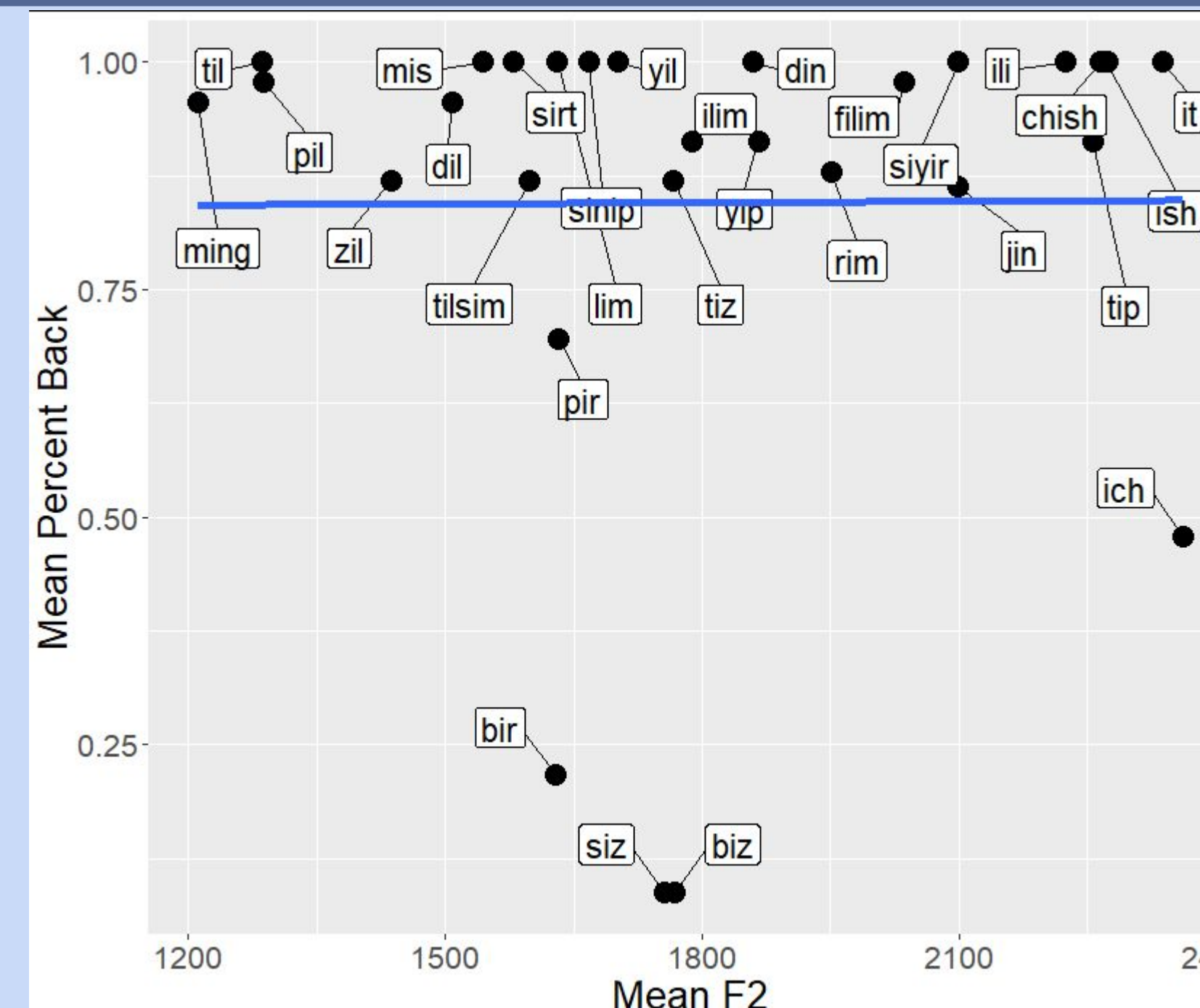
Place of following C predicts F2

velar, lateral  
 <  
 coronal, labial  
 <  
 n/a, palatal



Suffix choice does not predict F2

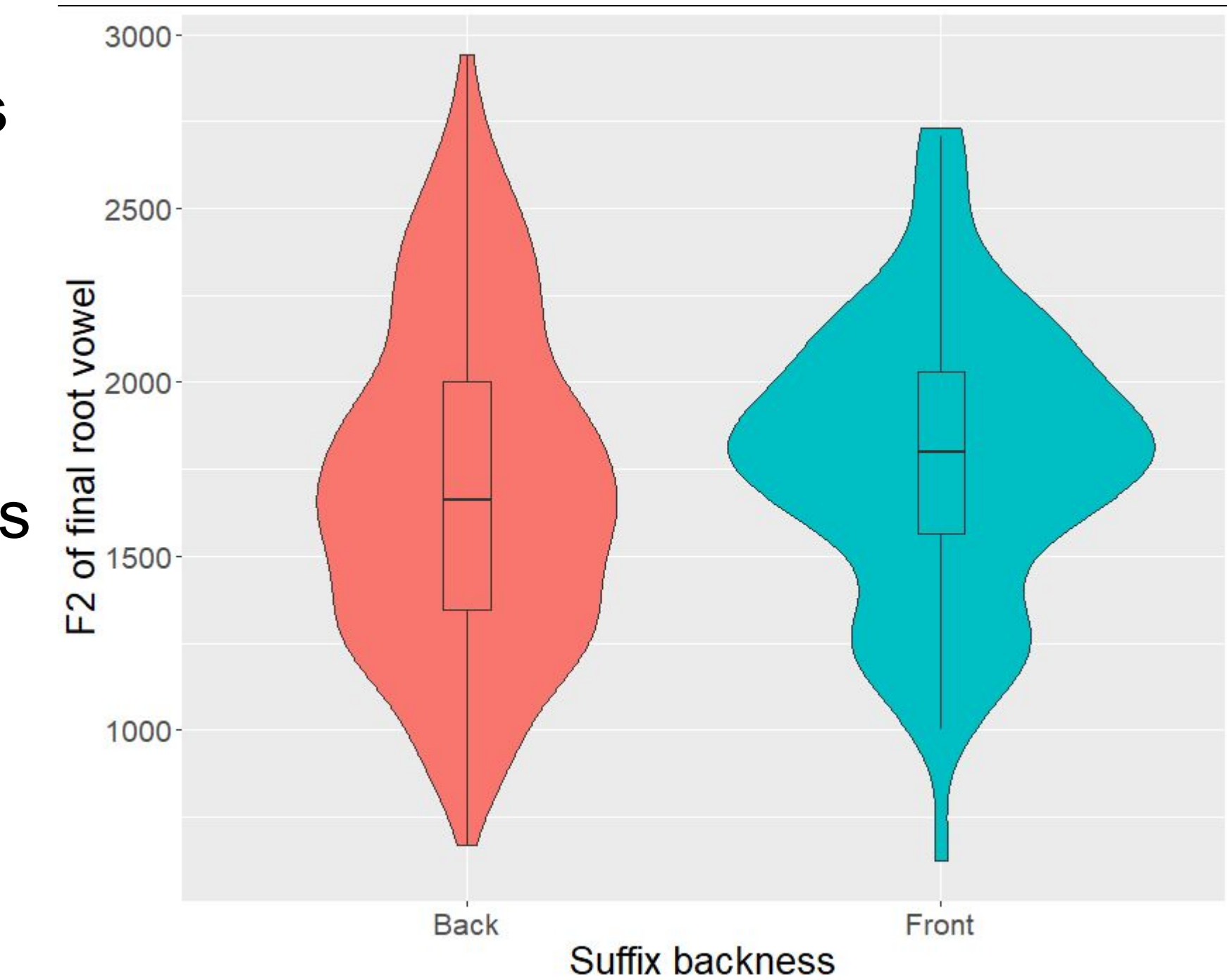
The variation observed in F2 between roots cannot be attributed to their harmonic behavior.



## 6. Analysis of vowels in suffixed tokens

Suffix choice does not predict F2 in suffixed forms

No significant co-articulatory effects between suffix and preceding neutral vowel



## 7. Structural considerations

No minimal pairs exist between /i/ and /u/

- i.e. no pairs like hypothetical \*/sup/ vs. \*/sip/

The /i/~u/ distinction has a functional load of 0

- Neutralizing contrast does not increase ambiguity (entropy)

Phonemic contrasts with a functional load of 0 can be learned based on phonetic dissimilarity (e.g. Peperkamp et al. 2006)

- E.g., /ŋ~/h/ in English
- /i/ and /u/ are not phonetically dissimilar

Structural evidence does not support a phonemic contrast

## 8. Discussion

No acoustic or structural evidence supports underlying contrast between /i/ and /u/

- Corroborates later work on Hungarian (Blaho and Szeredi 2013) and smaller study on Uyghur (McCollum 2021)

Lexical diacritics are a simpler analysis (Mayer 2021)

- Unifies neutral roots with other exceptional roots
- Speakers learn front neutral roots as exceptions

Both analyses are descriptively adequate (Chomsky 1956)

- We should strive for explanatory adequacy
- Bring additional data to bear on analyses
- Consider learnability!

## Selected References

Benus S and Gafos AI (2007). Articulatory characteristics of Hungarian 'transparent' vowels. *J. Phon.* 35(3), 271-300. Chomsky N (1965). *Aspects of the theory of syntax*. Cambridge, MA: MIT Press. Compton R and Drescher BE (2007). Palatalization and "strong i" across Inuit dialects. *CJL* 56(2), 203-228. Erdal M (2004). *A grammar of Old Turkic*. Leiden: Brill. Hahn R (1991). *Spoken Uyghur*. Seattle: UW Press. Lindblad VM (1990). *Neutralization in Uyghur*. MA thesis, UW. Mayer C (2021). *Issues in Uyghur backness harmony*. PhD thesis, UCLA. Peperkamp S et al. (2006). The acquisition of allophonic rules. *Cognition* 101, B31-41.