## The input ambiguity hypothesis and case blindness: an account of cross-linguistic and intra-linguistic differences in case errors\*

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#### ABSTRACT

English-acquiring children frequently make pronoun case errors, while German-acquiring children rarely do. Nonetheless, German-acquiring children frequently make article case errors. It is proposed that when child-directed speech contains a high percentage of case-ambiguous forms, case errors are common in child language; when percentages are low, case errors are rare. Input to English and German children was analyzed for percentage of case-ambiguous personal pronouns on adult tiers of corpora from 24 English-acquiring and 24 German-acquiring children. Also analyzed for German was the percentage of caseambiguous articles. Case-ambiguous pronouns averaged 63.3% in English, compared with 7.6% in German. The percentage of caseambiguous articles in German was 77.0%. These percentages align with the children's errors reported in the literature. It appears children may be sensitive to levels of ambiguity such that low ambiguity may aid error-free acquisition, while high ambiguity may blind children to case distinctions, resulting in errors.

## INTRODUCTION

English-acquiring children frequently make pronoun case errors of the sort below:

- (1) Then us taked off all our clothes. (Douglas 3;4: Huxley, 1970)
- (2) *Him* can't see. (Nina 2;1: Vainikka, 1994)
- (3) Her cries a lot. (Child 2, 3;0: Rispoli, 1994)

Interestingly, the same cannot be said for German. In fact, case-marking errors in the use of personal pronouns are exceedingly rare in the literature on the speech of children acquiring German (Kaper, 1976; Stenzel, 1994;

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Schütze, 1997; Mills, 1985; Tracy, 1986). Beyond the few reports of what seem to be quite rare errors,<sup>1</sup> little is mentioned in the literature regarding the acquisition of case in the personal pronoun paradigms. Mills (1985) notes, 'An early distinction is made between nominative and accusative forms of the first pronouns to appear ... Case forms are marked quite distinctly' (p. 181).

In contrast Mills (1985: 242, 243) reports, 'When full forms of articles are produced, the nominative case form is frequently used where other case-marking would be appropriate ... After prepositions, the accusative form of the articles is commonly overgeneralized'. Independent reports of children's case errors confirm this (MacWhinney, 1978; Tracy, 1986; Stenzel, 1994; Czepluch, 1996; Szagun, 2004), showing that case on articles poses a particular problem for German children.

These reports pose an interesting conundrum. Why should children acquiring English frequently make case errors in their use of personal pronouns while children acquiring German seldom seem to do so? And why should German-acquiring children apparently master the case system of the German personal pronoun paradigms with ease, while making frequent case errors with articles? To date, no theory or combination of theories has provided an adequate answer to these questions. However, a factor hereto-fore uninvestigated – case ambiguity in the input to children – may prove crucial in creating or preventing case errors in child language.

#### English case

English has an impoverished case system with the only overtly case-marked forms being personal pronouns, which are either nominative or objective, and possessive determiners, which are genitive. For English, straightforward syntactic rules govern case assignment. Nominative case is assigned to spec-IP, so syntactic subjects in English are nominative. Objective case is assigned to complements of verbs and prepositions. Additionally, objective case has been argued to function as the default case in adult English (Radford, 1990; Schütze, 1997, 2001). Genitive case is quite different from nominative or objective case in that it applies strictly within DPs, and such DPs are not inflected for nominative or objective case. Given that, possessive determiners will not be considered herein, except insofar as they are ambiguous with forms that are of interest in the present study.

<sup>[</sup>I] It may be that such errors are more common than a review of the literature would indicate. However, when contrasted with the numerous reports of children's frequent case errors with determiners, it would appear that it is not for a lack of reporting on children's case errors that pronominal case errors are rarely found in the literature, but rather for lack of the errors themselves.

#### INPUT AMBIGUITY AND CASE BLINDNESS

			Personal p	oronouns	Possessives		
Person	Number	Gender	Nominative	Objective	Determiners	Pronouns	
1st Person	Singular Plural		I we	me us	my our	mine ours	
2nd Person	Singular/ Plural		you	you	your	yours	
3rd Person	Singular Plural	Masculine Feminine Neuter	he she <b>it</b> they	him <b>her</b> it them	his her its their	his hers 	

#### TABLE I. Case forms in English

Table I shows the personal pronouns and possessive determiners in English with case-ambiguous forms in bold. Only personal pronouns and the possessive determiner */her/* are included in the analyses that follow.

While *her* is not ambiguous within the personal pronoun paradigm being investigated here, it is ambiguous outside the paradigm, and the fact that *her* functions as both the third person feminine singular objective pronoun and the possessive determiner has been argued to be responsible for the fact that children overextend *her* to nominative contexts comparatively frequently (Vainikka, 1994). Consequently, *her* is considered ambiguous in the following analyses.

#### German case

In contrast to English, the case system of German is quite rich. In addition to marking personal pronouns for case, German determiners, wh-words, quantifiers and adjectives also bear case-markings, as do some nouns, and where English distinguishes three cases, German distinguishes four: nominative, accusative, dative and genitive. Unlike English, German contains both structural and lexical case. Structural case-marking dictates the case of DPs as follows: nominative case is assigned to spec-IP, so syntactic subjects in German are nominative; transitive verbs assign accusative case to direct objects, and indirect objects receive dative case.<sup>2</sup> Like English,

<sup>[2]</sup> Dative case is regarded by some as lexically determined in all environments (Haegeman, 1991; Haider, 1985). However, others (Czepluch, 1996; Wunderlich, 1997) have argued convincingly that dative is structurally assigned to indirect objects, citing the fact that, like direct objects, indirect objects can become subjects in passive sentences. This productive structural alternation, they claim, justifies the interpretation that dative is structurally assigned to indirect objects. This position is accepted in the present investigation.

Dative	Dative/Accusative	Accusative
aus 'from, out of'	an 'at, on, to'	bis 'up to, until, as far as'
außer 'except for, besides'	auf 'at, to, on, upon'	durch 'through, by means of'
bei 'at, near'	hinter 'behind'	entlang 'along'
gegenüber 'across from, opposite'	in 'in, into'	für 'for'
mit 'with, by'	neben 'beside, near, next to'	gegen 'against'
nach 'after, to'	über 'about, above, across, over'	ohne 'without'
seit 'since, for'	unter 'under, among'	um 'around'
von 'by, from' zu 'at, to'	vor 'in front of, before; ago' zwischen 'between'	wider 'against, contrary to'
Genitive preposition 'because of'	s: statt 'instead of', trotz 'in spite of'	', während 'during', wegen

TABLE 2. German prepositions

German has possessive determiners; however, unlike English, these are inflected for nominative, accusative, dative or genitive case. Lexical casemarking is a lexically defined exception to structural case-marking, such that some intransitive verbs, such as *graue*' 'to be horrified by something' and *frieren* 'to be cold', have dative and nominative or accusative subjects respectively, while some transitive verbs, such as *helfen* 'to help' and *danken* 'to thank', have dative direct objects. Also like English, German is said to have default case, which for German is nominative (Schütze, 1997; 2001; Eisenbeiss, Bartke & Clahsen, 2006). Additionally, there are the casemarking properties of prepositions. Table 2 shows the prepositions in German and the case their complements take. Depending on one's perspective, all prepositions assign case lexically (Haider, 1985; Haegeman, 1991), all prepositions structurally assign accusative case (Eisenbeiss, 2003), or all prepositions structurally assign dative (Bierwisch, 1988).

Table 3 lists the personal pronouns and possessive determiners/pronouns for German. Those forms that are case-ambiguous within the same person/ number cell are in bold and those that are case-ambiguous across different person/number cells are in italics. Except for *ihr*, possessive forms are not included in these analyses. These have been excluded because of their asymmetry to English possessive determiners, which are not overtly marked for case. However, the possessive determiner *ihr* has been included because it is ambiguous across different number/person cells within the personal pronoun paradigm as it represents both the second person plural informal nominative pronoun and the third person singular feminine dative pronoun, and *ihr* is clearly ambiguous with the possessive determiner *ihr*. Furthermore, treating *ihr* as ambiguous is consistent with treatment of *her* as ambiguous for English. Hence, though doing so can only serve to weaken

Person				Per	Personal pronouns			Possessives	
	Formality	Number	Gender	Nominative	Accusative	Dative	Determiner	Pronoun	
1st Person		Singular Plural		ich wir	mich <b>uns</b>	mir <b>uns</b>	mein <b>unser</b>	meiner <b>unser</b>	
2nd Person	Informal Formal	Singular Plural Singular/Plural		du <i>ihr</i> <b>Sie</b>	dich euch Sie	dir <b>euch</b> Ihnen	dein <b>euer</b> Ihr	deiner <b>euer</b> Ihrer	
3rd Person		Singular Plural	Masculine Feminine Neuter	er sie es sie	ihn sie es sie	ihm <b>ihr</b> ihm ihnen	sein <b>ihr</b> sein <i>ihr</i>	seiner ihrer seiner ihrer	

TABLE 3. Case in German personal pronouns and possessive determiners and pronouns

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	Masculine	Feminine	Neuter	Plural
Nominative	<i>der</i>	die	<b>das</b>	die
Accusative	<i>den</i>	die	das	die
Dative	dem	der*	dem	den
Genitive	des	der	des	der

TABLE 4. Definite article declension

\* Dative feminine /der/ is not in bold because genitive forms are not analyzed herein.

TABLE 5. Declension of dieser 'this', jener 'that', welcher 'which', jeder 'each', alle 'all', viele 'many'

	Masculine	Feminine	Neuter	Plural	
Nominative	<i>dies - er</i>	dies - e	dies - es	dies - e	
Accusative	<i>dies - en</i>	dies - e	dies - es	dies - e	
Dative	dies - em	dies - er*	dies - em	dies - en	
Genitive	dies - es	dies - er	dies - es	dies - er	

\* Dative /dies - er/ is not in bold because genitive forms are not analyzed herein.

support for the present hypothesis, *ihr* is considered ambiguous in this study.

As noted, German also marks determiners, wh-words, quantifiers, adjectives and some nouns for nominative, accusative, dative and genitive case. Also, in addition to their use as determiners, definite articles can be used as pronouns. For example, the English sentence *We love them*, can be translated into German as either *Wir lieben sie* or *Wir lieben die*. Table 4 provides the declension for the German definite article.

Indefinite determiners, along with other determiners ending in *-ein* (possessive pronouns and *kein*), can also be used as pronouns, and when used as such they exhibit the same case endings as do the determiners that decline like the demonstrative determiner *dieser*, shown in Table 5 (Eisenbeiss *et al.*, 2006).

Table 6 shows the declension of the *-ein* determiners when they are used prenominally, that is as determiners (note: there are no plural forms for the indefinite article).

As above, those forms that are case-ambiguous within the same gender/ number cell are in bold while those that are case-ambiguous across different gender/number cells are in italics. Genitive forms are excluded from analysis due to their rare use in spoken German (Eisenbeiss *et al.*, 2006) and late acquisition by children (Mills, 1985), and in order to maintain consistency throughout this investigation.

	Masculine	Feminine	Neuter	Plural*	
Nominative	ein - Ø	ein - e	ein - Ø	- <b>ein - e</b>	
Accusative	ein - en	ein - e	ein - Ø	- <b>ein - e</b>	
Dative	ein - em	ein - er*	ein - em	- <i>ein - en</i>	
Genitive	ein - es	ein - er	ein - es	-ein - er	

 TABLE 6. Declension of -ein determiners : indefinite article, possessive pronouns, kein

NOTE: Plural forms exist only for -ein determiners other than the indefinite article.

\* Dative feminine /ein - er/ is not in bold because genitive forms are not analyzed herein.

As the tables show, nominative and accusative articles and determiners are ambiguous within the feminine, neuter and plural cells. Across different gender/number cells, the masculine nominative forms of the definite article der, and the demonstrative determiner dieser (and other determiners that decline in the same way), are ambiguous with the feminine dative forms. Also, the masculine accusative forms of the definite article den, the -ein determiner keinen, and the demonstrative determiner diese (and other determiners that decline in the same way), are ambiguous with the plural dative forms. However, none of these forms is considered ambiguous in these analyses for two reasons. First, it has been noted that gender errors are relatively rare in German children's speech (see MacWhinney, 1978: 60) and that gender seems to be acquired at an early age (Mills, 1985: 173, 174). This suggests that children begin to accurately distinguish gender at an early age, and at such time as children accurately distinguish gender, der and *dieser*, along with *den*, *diesen* and *keinen*, cease being case-ambiguous. Another reason for considering these forms case distinct is that doing so can only weaken support for the present hypothesis; hence, this interpretation is the most conservative given the question under investigation.

#### Children's case acquisition

Children acquiring English and German tend to make case errors en route to adult-like performance. However, there is a perplexing asymmetry in these errors that is in need of adequate explanation. An ideal explanation would provide a single account for children's treatment of case in both English and German. Descriptions of theories posited for case errors/ acquisition in English and German follow. While no theory fully accounts for all aspects of English and German children's performance with case, the Input Ambiguity hypothesis articulated below offers a simple explanation which accurately predicts the presence or lack of case errors in English and German, and it is hoped that it will prove equally adequate in predicting case errors or their lack in children's acquisition of other languages.

#### English case acquisition

As children learn English, many of them make case errors. Such errors are well documented (Huxley, 1970; Bloom, Lightbown & Hood, 1975; Radford, 1990; 1998; Rispoli, 1994, 2005; Vainikka, 1994). The most common errors are overextension of objective case forms to non-objective contexts (Rispoli, 1994; Vainikka, 1994; Schütze, 1997). However, children also err by over-extending the genitive (Rispoli, 1994; Vainikka, 1994; Radford, 1998) and nominative forms (Rispoli, 1994, 2005; Vainikka, 1994). Numerous linguists have attempted to explain these errors (Kaper, 1976; Nelson, 1975; Radford, 1990, 1998; Rispoli, 1994; Vainikka, 1994; Schütze & Wexler, 1996; Schütze, 1997, 2001; Wexler, Schütze & Rice, 1998). From these efforts, a number of theories have emerged. Of these, syntactic and morphosyntactic theories have received the most attention.

*Syntactic theories*. Systematicity has been observed among case errors in child English; in particular, English-speaking children tend to make numerous case errors in nominative contexts and relatively few in objective and genitive contexts (Rispoli, 1994). A number of linguists (Vainikka, 1994; Schütze & Wexler, 1996; Schütze, 1997; Wexler *et al.*, 1998) have developed syntactic theories which focus on explaining the stereotypical objective or genitive for nominative case error and its eventual resolution.

Syntactic explanations of children's pronominal case errors take as given an extended INFL model of syntax and assume case assignment occurs according to Checking Theory. Such models posit that children's pronominal case errors result from incomplete or under-specified phrase structure, and that children supply a default case pronoun in all positions until the requisite phrase structure for case assignment is present in the child's grammar. Regarding nominative case, it is specifically a lack of or an under-specified INFL that creates the problem. The most fully articulated syntactic hypothesis, the Agreement/Tense Omission Model or ATOM (Schütze & Wexler, 1996; Wexler *et al.*, 1998), predicts that after INFL is present, pronouns of all cases may surface in subject position depending on what features are present in INFL (Schütze & Wexler, 1996), but that when agreement features are overtly marked on the verb, pronoun errors will not arise.

Nominative case errors are rare in sentences containing verbs overtly marked for tense and agreement (Schütze, 1997; Rispoli, 2005). However, they are not unheard of. In fact, a recent study found the co-occurrence of objective case pronominal subjects and finite verbs was as high as 30% in the speech of some children (Pine, Rowland, Lieven & Theakston, 2005). According to ATOM, if the children in that study lacked the nominative form of the pronouns where they erroneously supplied the objective case, such errors would not be treated as case errors per se, since they would have arisen from lexical gaps in the pronominal paradigm rather than from a structural case error. A weakness of this model is the existence of examples that refute the assertion that once a child masters the syntax and features of nominative case, he/she will make no case errors. Sentence (4) provides one such counter-example.

## (4) Her is jolly strong, isn't she? (Douglas 2;4: Huxley, 1970)

Morphosyntactic theories. Morphosyntactic theories of case errors hold that case errors result from an inconsistency between morphology and the case it encodes. Rispoli's Paradigm Building hypothesis (1994) is such a model. Under this model, children 'attempt' to find some regularity in a system that has none, and in so doing they extract a phonetic core (e.g. *m*- for first person singular) for each pronoun paradigm. Then, until such time as they learn the correct forms for each cell within each paradigm, they supply pronouns that share the phonetic core.

The data show that children are much more likely to overextend pronominal forms sharing part of the phonetic core (Rispoli, 1994). However, when finiteness is high, children tend to make fewer case errors than when finiteness is low (Schütze, 1997; Schütze & Wexler, 1996), and a strictly morphosyntactic model cannot account for this. Rispoli (2005) has proposed an explanation for the interaction between Paradigm Building and finiteness which may strike the proper balance between the interplay of paradigm expansion and syntactic development.

## German case acquisition

Reports of German children making pronominal case errors are extremely rare. However, German-acquiring children frequently produce case forms of the definite and indefinite articles that do not conform to the adult grammar.<sup>3</sup> Unlike in English, German children rarely make errors in nominative contexts, though it would be inaccurate to suggest that they never do so. Indeed, Leopold (1949, cited in Kaper, 1976) reports that for a period of time, Hildegard consistently overextended accusative *den* to nominative contexts. Much more common errors are overextensions of the nominative forms of articles to accusative contexts and accusative forms to dative contexts. Also attested in the literature are dative for accusative substitutions.

While one would expect errors to arise in German children's language, given the idiosyncrasies of lexical case-marking, it is vital to note that case errors occur not only where case is marked lexically but also where it is structurally marked. All nominative substitutions for accusative direct

<sup>[3]</sup> It is important to keep in mind that nominative and accusative errors are only apparent in the masculine singular paradigm, as in the other gender/number paradigms the nominative and accusative forms are identical.

objects are certainly instances of structural case-marking errors. Sentences (5–10) provide examples of such errors. In each example, the incorrect form used by the child precedes the slash while the adult-like utterance follows the slash.

- (5) \*der/den korb ich alleine festhalten the (\*Nom/Acc) basket I alone hold onto
  'I alone hold onto the basket.'
  (Daniel 2;9.28≤3;6.28: Clahsen, 1982; in Schütze, 1997)
- (6) hab \*der/den Stuhl (g)ehaut have the (\*Nom/Acc) stool hit 'I have hit the stool.' (Child 3;2: Stern, 1975; in Mills, 1985)
- (7) da nehm ich mir \*ein/einen Regenschirm there take I (Nom) me (Dat) an (\*Nom/Acc) umbrella 'There I take an umbrella (with/for) me.'
  (J 2;8: Tracy, 1986)
- (8) hab ich so \*ein/einen grossen bösen Finger have I such a (\*Nom/Acc) big (Acc) bad (Acc) finger 'I have such a big bad finger.'
  (Child 2;6: Scupin, 1907, 1910; in Mills, 1985)
- (9) hat sie \*ein/einen so vollen Bauch has she a (\*Nom/Acc) such full (Acc) stomach 'She has such a full stomach.'
  (Child 5;3: Scupin, 1907, 1910; in Mills, 1985)
- (10) ich mal \*der/den mond weg
  I paint the (\*Nom/Acc) moon away
  'I paint the moon away.'
  (Children 1;4≤3;8: Szagun, 2004)

Another structural case-marking error that occurs in German children's language is accusative substitutions for dative indirect objects. Examples of this type of structural case-marking error are shown in sentences (11) and (12).

- (11) mach \*den/dem Mann Beine make the (\*Acc/Dat) man legs
  'Make legs for the man.'
  (Child 2;9: Scupin, 1907, 1910; in Mills, 1985)
- (12) ich will Briefe ins Hous \*die/der Mama schicken I want letters into house the (\*Nom-Acc/Dat) Mommy send 'I want to send letters to Mommy in the house.'
  (Child 2;6: Scupin, 1907, 1910; in Mills, 1985)

Whether or not post-preposition case errors of the sort in sentences (13–17) below should be considered lexical or structural case-marking errors is unclear. Here I simply report the existence of these errors and defer to others to determine their nature.

- (13) und auf \*einen/einem bein stehen and on one (\*Acc/Dat) leg stand
  'And stand on one leg.' (Pascal 3;1.20: Stenzel, 1994)
- (14) der geht auf \*der/den Stuhl he goes on the (\*Nom/Acc) stool 'He goes on the stool.'
  (Child 3;0: Grimm, 1975; in Mills, 1985)
- (15) fur \*(de)m/den Axel for the (\*Dat/Acc) Axel
  'for Axel'
  (Child 2;4: Prever, 1882; in Mills, 1985)
- (16) jetz kommt der tiger mit \*ein'n/ein'm schneemannkopf now comes the tiger with a (\*Acc/Dat) snowman's head 'Now the tiger comes with the snowman's head.' (Szagun, 2004)
- (17) der war auf \*das/dem dach he was on the (\*Nom-Acc/Dat) roof 'He was on the roof.'
  (Szagun, 2004)

Several researchers have noted that children appear to acquire nominative case first, followed by accusative and then dative case (Mills, 1985; Tracy, 1986). Summarizing numerous accounts of German child language, Eisenbeiss *et al.* (2006) state, 'The picture that emerges from these studies is that in the two-word stage, children do not yet use case-markings contrastively' (p. 11). Additionally, they maintain that, 'An early case system that distinguishes between nominative and non-nominative forms begins to develop during the third year of life' (p. 12). However, a recent longitudinal study by Szagun (2004) calls these characterizations into question.

Szagun's (2004) study found that from the earliest MLU level analyzed (MLU 1.89), children correctly produced the definite article in nominative, accusative and dative case. While it is true that Szagun's data show that children supplied nominative case articles with the greatest accuracy, followed by accusative case forms with intermediate accuracy, and datives with the lowest accuracy, she notes, 'the suggestion of an acquisitional sequence with nominatives being acquired before accusatives and datives is,

at best, an imprecise way of describing the data' (p. 24). Furthermore, children's correct use of all three case forms beginning from MLU 1.89 suggests that children may actually use case contrastively at the two-word stage.

Czepluch's (1996) results, based on his review of a child's data from fourteen transcripts recorded at regular intervals from 2;00.17 to 3;09.10, also conflict with the earlier characterization of case acquisition. He notes that at age 2;01.08, the child, Lisa, overgeneralized the accusative to dative contexts after prepositions. This contrasts with the characterization that children have only a rudimentary nominative/non-nominative case system beginning to develop during their third year of life. Further, Czepluch states, 'By the age of 2;03, Lisa uses the DAT-ACC pattern productively' (p. 100), and these cases were used productively with direct and indirect objects as well as with prepositional complements.

The difference between Czepluch's results and earlier accounts of children's case usage may be related to the fact that the subject of Czepluch's study was 'a straightforward and fast language acquirer as compared to other children in the Tübingen group' (p. 94). The difference in Szagun's results and characterizations of case acquisition based on earlier diary studies and spontaneous language data may lie in the nature of the data. Szagun's data are longitudinal, from multiple children whose language was collected in a controlled environment and transcribed in a consistent manner. Whatever the reasons for these differences, one aspect of older characterizations of German child language that is entirely consistent with more recent work is the characterization of a 'slow and error-ridden acquisition of case-marking on articles in German-speaking children (Szagun, 2004: 24).

Though several researchers have noted that children tend to use correctly case-marked pronouns earlier than correctly case-marked articles (Mills, 1985; Tracy, 1986; Clahsen, Eisenbeiss & Vainikka, 1994; Czepluch, 1996), no theory specifically attempts to account for this incongruity. However, several theories have been proposed to account for children's case acquisition and/or errors with articles.

Syntactic accounts. Tracy (1986), Clahsen et al. (1994) and Czepluch (1996) have proposed syntactic theories to account for German children's acquisition of case and their case errors. While all these researchers have made important contributions to the subject at hand, only Clahsen et al.'s model is discussed in detail, as this model provides an explanation, albeit brief and unelaborated, as to why German children err with articles but not with pronouns.

Clahsen *et al.* (1994) proposed a model of case acquisition in which UG principles, morphological case-marking and semantic bootstrapping drive acquisition. According to their analysis, UG provides children with all

possible heads of maximal projections, the existence of which children acquire based on the linguistic input. Once a head is projected, so is its complement position, since UG requires that all heads have complement positions. In contrast, specifiers are not simultaneously projected with acquisition of a functional head, and instead are only projected based on positive evidence from the input. According to Clahsen *et al.*, the prenominal genitive *-s* lies in spec-DP and provides the evidence required for specifier acquisition. Hence, its acquisition triggers the acquisition of the DP phrase structure necessary for determiner case.

While Clahsen *et al.* find evidence for this developmental sequence in the data they reviewed, others have noted results that run counter to these predictions. The child in Czepluch's (1996) study, prior to acquisition of the prenominal genitive, acquired productive use of accusatives clearly inflected with the *-n* accusative suffix, as evidenced by self-corrections like *neues* 'new' (neuter accusative) to *neuen* 'new' (masculine accusative), clearly demonstrating the status of *-n* as an accusative suffix (p. 99). Additionally, Szagun (2004) notes that children at every MLU level in her study correctly use definite articles in the nominative, accusative and dative cases – something that would not be expected were children following the path to acquisition outlined above.

Clahsen *et al.* suggest the incongruity between children's behavior with case-marked pronouns and articles results from the fact that personal pronouns are uninflected lexical items requiring no carrier system for affixation. In contrast, case-marked articles result from regular inflectional affixation onto stems. Further, correct case-marking of articles can only occur within the appropriate DP structure. Hence, absent determiner phrase structure, articles cannot be correctly case-marked. Under this model, one would also expect pronominal case prior to determiner case, since pronouns can receive structural case-marking in child German in the domain of comp-VP/spec-IP without an elaborated DP structure, while case assignment with articles can only occur in those domains after DP has been posited.

A problem with this model is that it predicts that case on complements should be acquired prior to case on specifiers. Hence, accusative case should be acquired before nominative case. However, nominative case appears to be acquired as early as, if not earlier than, accusative case for both pronouns (Mills, 1985) and determiners (Szagun, 2004; Tracy, 1986).

Input driven errors. Several researchers (Szagun, 2004; Eisenbeiss et al., 2006) have proposed that aspects of the input are responsible for German children's case errors with articles. In the first comprehensive, multisubject, controlled, systematic, longitudinal study of its kind in German, Szagun (2004) examined the possibility that low perceptual salience among articles, e.g. accusative *den* and dative *dem* in the masculine singular paradigm, and frequency of articles in the input influence the types of errors children make.<sup>4</sup>

Szagun's results confirmed the hypothesis that low discriminability between den/dem results in higher error rates in that den for dem substitutions were significantly more common (p < 0.013) than other incorrect uses of den. However, no significant effect of low perceptual salience on frequency of error types was found for the indefinite article paradigms. Regarding the effect of frequency of input on children's errors, Szagun's results show that frequency of errors with indefinite articles corresponded exactly to the frequency of the forms in the input to the children. However, regarding the definite article, the most frequent error was overextension of den, though the form that was most frequent in the input was die.

Across article types and paradigms, these analyses demonstrate an imperfect fit between parental input and frequency of errors. Furthermore, children make case errors in paradigms where there is no problem with perceptual salience. Hence, perceptual salience+input frequency alone do not appear to fully account for German children's errors with articles.

A general model of language acquisition. MacWhinney (1987) and Bates & MacWhinney's (1987) Competition Model is a comprehensive model of language acquisition within an input-driven framework. Within this model, certain aspects of language act as cues to particular functions in the language. However, cues vary in their reliability and availability, and together these factors determine a cue's overall CUE VALIDITY.

The Competition Model accurately predicts German children's lack of case errors with personal pronouns. The vast majority of German pronouns are 100% reliable cues of case throughout the entire paradigm, making them 'easy to learn' and resistant to error. However, the model does not predict, and cannot account for, the kinds of case errors that occur in German children's use of articles. For example, within the masculine singular paradigm for definite articles, *der* is a 100% valid cue to nominative case, *den* is a 100% valid cue for accusative case, and *dem* is a 100% valid cue to dative case. Furthermore, *der* is highly available in the input, and critically it NEVER cues for accusative case in any paradigm. Nonetheless, German children's most frequent case error with definite articles is overextension of the nominative form *der* to accusative contexts (Szagun, 2004). According to

<sup>[4]</sup> Indeed, some researchers have suggested that when children incorrectly supply *den* for *dem*, it should not actually be regarded as a case error at all (Eisenbeiss *et al.*, 2006). However, the presence of what can only be regarded as structural case errors such as those in sentences (5), (6) and (10), where *der* is used for *den*, and (12), where *die* is used for *der* (and (17), if one does not regard this as an instance of lexical case-marking, where *das* is used for *dem*), indicate that it would be rash to assume that children are not making case errors when they produce other non-adult-like utterances, i.e. when they substitute *den* for *dem*, etc.

the Competition Model, however, this error should almost never occur, since *der* has 0% validity as a cue to accusative case.

#### Input Ambiguity hypothesis

Two questions posed in the Introduction remain unanswered and unanswerable under the models and theories described above. Why should English-acquiring children frequently make case errors with personal pronouns while children acquiring German seldom seem to do so? And why should German-acquiring children apparently master the case system of the German personal pronoun paradigms with ease, while making frequent case errors with articles?

It is proposed that the answer to both these questions may lie in the level of case ambiguity in child-directed speech. Specifically, where the percentage of case-ambiguous forms in child-directed speech is below a critical threshold of ambiguity, errors should be uncommon, but where the percentage of case-ambiguous forms in child-directed speech is above a critical threshold of ambiguity, errors should be common in child speech. This could account for the presence of pronominal case errors in child English, the lack of pronominal case errors in child German, and the presence of article case errors in child German.

#### METHOD

In order to evaluate the plausibility of the hypothesis that input ambiguity may be relevant for answering the questions raised about the acquisition of the English and German case systems, an archival study comparing the level of ambiguity in the speech directed to English- and German-acquiring children was carried out using data from CHILDES as described below.

## Selection of corpora

A number of factors were considered in determining which data to analyze for the present study. Sufficient data from both English- and Germanacquiring children was a priority. Other important factors included that the children be approximately age matched, that there be an equal number of children from each language, that data from the children span an appropriate age range, and that the children be normally developing and acquiring a standard dialect of their language. It was also paramount that adult utterances be transcribed fully and consistently. Given that CHILDES has fewer German than English corpora, appropriate data from the German corpora were identified, and English corpora were age matched to the German data.

German corpora. Prior to selecting the German corpora, the appropriate beginning age at which data should be examined was identified. It was assumed that any effect of the input on acquisition of case-marked forms would begin prior to children's production of the forms under investigation, and would decrease as children gained mastery of the forms in question. Given this, it was necessary to attempt to identify the onset of production of case-marked forms. A review of the literature provides little detail as to the acquisitional course of personal pronouns in German. However, Stenzel (1994) reports that between the ages of 1;9.30 and 2;4.7, a bilingual child in his study acquired 'the whole range of personal pronouns except es, man, and wir' (p. 172). Szagun reported that at MLU 1.89, the children in her study occasionally used the correct nominative, accusative and dative forms of the definite article. These facts suggest that inclusion of data as early or even earlier than 1;0 would be appropriate for the present study. Unfortunately, of the four German corpora available on CHILDES, only the Nijmegen corpus (Miller, 1979) contained data from prior to 1;0. However, the Szagun (2002) and Wagner (1985) corpora contained data beginning at between 1;3 and 1;6. Hence, data from these three corpora were evaluated for possible inclusion in the present study.

As noted, an important consideration was that there be a sufficient quantity of data from each language, i.e. a large number of transcripts over a reasonably lengthy period of time from a large number of children. Among the German corpora, only the Szagun corpus contained numerous transcripts from numerous children of an appropriate age. These data contained transcripts from 22 hearing-impaired and 22 normally hearing children. For the present study, transcripts from the 22 normally hearing children were analyzed. Data from these children's transcripts were consistent in that all the children were recorded at regular intervals under controlled conditions beginning at age 1;4 until 2;10 or beyond. These data are ideal for the present investigation in a number of respects, not the least of which is that this corpus provides 'the first comprehensive data collection of child directed adult speech in German' (Szagun, 2000: 47).

Despite the exceptional concurrence between the Szagun data and the present investigation, it seemed prudent to include data from other corpora so as to ensure that if differences in investigative techniques, transcription procedures and environment in which data were recorded, etc. affected the data, this might come to light in the course of the analyses. The Wagner (1985) corpus contained data from four young children. However, these data were inappropriate for the present investigation because some interlocutor utterances were abbreviated. The Nijmegen corpus (Miller, 1979), contained data from three children, Caroline, Kerstin and Simone, with data from Caroline spanning from 0;10 to 4;3. Transcripts from Kerstin and Simone, on the other hand, began and ended at or about the same time

as all the transcripts in the Szagun corpus. All the Nijmegen transcripts contained complete utterances on adult tiers. However, only data from Kerstin and Simone were included in the present study because all their transcripts were approximately age-matched to those in the Szagun corpus. This allowed for inclusion of all the transcripts without the need to arbitrarily determine which transcripts to include and exclude, something that would have been necessary with the Caroline transcripts. In all, data from 24 German-acquiring children were identified as appropriate for inclusion in the present study. A summary of the data is presented in the Appendices.

English corpora. Having identified the German data to be analyzed, English corpora were identified that roughly matched the age range for which German data were available. All data from the Manchester corpus (Theakston, Lieven, Pine & Rowland, 2001) fell within the age range of the German data, and all 12 children in the corpus were normally developing and from predominately middle-class British families and hence assumed to be acquiring a standard English dialect. This provided data from 12 Englishacquiring children. In order to ensure that the data be as balanced as possible, the remaining 12 English-acquiring children whose data were to be included were found among US English corpora. Unfortunately, there are no US English corpora available on CHILDES that have been gathered in the same methodical way as the Szagun and Manchester data were collected. This left the prime guidelines for inclusion being age-match and the dialect of the child and his interlocutors. Using these criteria, 12 English-acquiring children from US English corpora were identified and their transcripts analyzed. The children and corpora included are the following: Peter from the Bloom 1970 corpus (Bloom et al., 1975); Allison from the Bloom 1973 corpus (Bloom, 1973); Adam, Eve and Sarah from the Brown corpus (Brown, 1973); Shem from the Clark corpus (Clark, 1982); June from the Higginson corpus (Higginson, 1985); Abe from the Kuczaj corpus (Kuczaj, 1976); Lew, She and Tow from the Post corpus (Demetras, Post & Snow, 1986); and Nina from the Suppes corpus (Suppes, 1974). To the extent feasible, corpora were picked that allowed for inclusion of all of a child's files.

## ANALYSES

CLAN was used to analyze the corpora identified for inclusion. Cut files for English pronouns (*I*, we, you, he, she, it, they, me, us, him, her, them), German pronouns (ich, mich, mir, du, dich, dir, er, ihn, ihm, sie, ihr, es, wir, uns, euch, ihnen), and German articles (der, die, das, den, dem, ein, eine, einen, einer, einem<sup>5</sup>) were used in CLAN to do frequency analyses of files for all

<sup>[5]</sup> In the Szagun corpora, shortened forms of the indefinite determiners were transcribed as follows: 'n for ein; 'ne for eine; ein'n, 'nen, and 'n for einen; ei'm, 'nem and 'm for einem; and 'ner for einer. However, in the present study, none of these shortened forms was

children. Though not central to the current investigation, other German determiners (kein, keine, keinen, keiner, keinem, dieser, diese, dieses, diesen, diesem, jener, jene, jenen, jenem, welcher, welche, welches, welchen, welchem, jeder, jede, jedes, jeden, jedem, alle, allen, viele, vielen) were also analyzed.

For each English-acquiring-child, two frequency analyses using the cut file for English pronouns were performed on all his/her transcripts: one on all adult tiers and one on the caregiver (mother, father) tiers. For the German-acquiring children, six frequency analyses were performed on all files for each child: two using the cut file for German pronouns, one on all adult tiers and another on caregiver tiers; two using the cut file for German articles, one on all adult tiers and another on caregiver tiers; and two using the cut file for other German determiners, one on all adult tiers and another on caregiver tiers. Analyses of caregiver input and all adult input were done to ascertain whether inclusion of speech directed to the children by adults other than their caregivers substantively changed the level of case ambiguity in child-directed speech; the results below show that it did not.

Once the frequency analyses were complete, a frequency merge operation was done on each child's saved frequency files, and from the resulting files the percentage of ambiguity was calculated for each child along with totals and percentage ambiguity for all children. The percentage of ambiguity was determined by taking the total number of words from each group that was previously identified as ambiguous and dividing that number by all the words in that group (e.g. total ambiguous English pronouns divided by total English pronouns). In calculating ambiguity, the totals from the frequency merge operations were used without further analysis. Hence, though *der*, *dieser*, *einer*, etc. in the German data may have been used in their genitive forms, no attempt to remove such instances and recalculate totals and percentage ambiguity was made.

Considered ambiguous for English were you, it and her. Considered ambiguous for German personal pronouns were es, euch, sie, uns and ihr. Considered ambiguous for definite and indefinite articles were die, das, eine and ein. Considered ambiguous for the other determiners were kein, keine, diese, dieses, welche, welches, jede, jedes, jene, jenes, alle and viele.

Some readers may disagree with the inclusion or exclusion of various words as ambiguous. For instance, some may think that *ihr* should be considered unambiguous. Others may believe *der* or *den* should be considered ambiguous. Given that, the total numbers of words spoken to children by their caregivers are provided in the sections that follow so interested readers can re-calculate the rates of ambiguity according to their own intuitions. It should be readily apparent that excluding *ihr* from the

included in the analyses. Total numbers of determiners and resulting levels of ambiguity in these analyses are based only on full forms of the determiners.

#### INPUT AMBIGUITY AND CASE BLINDNESS

Pronoun	# of uses	% of total
you	88,144	38.4%
it	48,971	21.4%
Ι	23,350	10.3%
we	17,434	7.6%
he	12,327	5.4%
they	7,789	3.4%
me	7,742	3.4%
them	6,810	3.0%
she	6,764	3.0%
her	5,661	2.5%
him	3,785	1.7%
us	579	o.3 %
Total	229,356	

TABLE 7. English pronouns spoken to children by caregivers

NOTE: Forms in bold treated as ambiguous.

ambiguous pronouns lowers overall pronoun ambiguity, adding support for the present hypothesis. It will be equally clear that including *der* and/or *den* as ambiguous raises the overall ambiguity of the articles, which also provides additional support for the present hypothesis.

#### RESULTS

#### English personal pronouns

The total number of pronouns spoken to all the English-acquiring children by their caregivers was 229,356; of those, 142,776, or  $62 \cdot 3\%$ , were ambiguous (Table 7 provides a breakdown of these data). The total number of pronouns spoken to all children by all adult speakers in the corpora was 274,704; of these 170,094, or  $61 \cdot 9\%$ , were ambiguous. Averaging the percentage ambiguity for each of the 24 children results in an average of  $63 \cdot 3\%$  for caregivers only and  $62 \cdot 6\%$  for all adults.

The rate of ambiguity among the 24 children ranged from a low of  $53\cdot2\%$  to a high of  $72\cdot7\%$ , and this was normally distributed, as indicated by a Kolmogorov-Smirnov (K-S) test for normality which yielded the following:  $(D(24)=0\cdot13, p=0\cdot20)$ . Figure 1 shows the distribution of input to children falling within ranges of  $2\cdot5\%$  from a low of  $53\cdot1\%$  to a high of 73%.

There was no apparent cause for the differences. Country of origin seems to have been unrelated, in that seven children from the United States and seven from the United Kingdom fell below the mean, while five children from the US and five children from the UK fell above it. Inasmuch as low total numbers of pronouns were associated with both high and low percentages of ambiguity, as were high total numbers of pronouns, the



Fig. 1. Distribution of case-ambiguous pronouns in caregiver child-directed English.

number of pronouns directed to the children does not appear to have been responsible for the differences in percentage ambiguity. Nor does the age range or the total number of files seem causally related to differences in percentage of ambiguity among children. The data for all 24 children, along with pertinent information about age, number of files, country of origin, etc. is provided in Appendix I.

## German personal pronouns

The total number of personal pronouns directed to German-acquiring children by their caregivers was 31,243, and of these 2,556, or  $8\cdot 2\%$ , were ambiguous (Table 8 provides a breakdown of these data). The total number of pronouns directed to these children by all adults was 52,643; of these, 5,126, or  $9\cdot7\%$ , were ambiguous. For caregivers only, the average ambiguity of personal pronouns was  $7\cdot6\%$ , while for all adults the average was  $8\cdot0\%$ . As with the English data, there is a small range among the various calculations of average rate of ambiguity, indicating that these numbers are likely quite robust.

The percentage of ambiguity of personal pronouns varied somewhat less among the German-acquiring children than among the English-acquiring children, from a low of 3.0% ambiguity to a high of 12.4%. It is difficult to be certain whether or not there was an influence of total number of pronouns on the percentage of ambiguity; however, it seems unlikely when one considers the data. See Appendix II for pertinent information for the 24 German-acquiring children.

#### INPUT AMBIGUITY AND CASE BLINDNESS

du	12,043	- 8 - 9/
		38.5%
ich	7,558	24.2%
wir	4,040	12.9%
dir	1,678	5.4%
dich	1,147	3.2%
mir	1,006	3.2%
es	806	<b>2·6</b> %
sie	782	2.5%
er	647	2.1%
ihr	467	1.5%
uns	439	1.4%
mich	297	1.0%
ihn	183	o.6%
ihm	77	0.3%
euch	62	0.5%
ihnen	I4	0.04 %
Total	31,243	

TABLE 8. German pronouns spoken to children by caregivers

NOTE: Forms in bold treated as ambiguous.

As can be seen, the child with the lowest percentage of ambiguity,  $3 \cdot 0\%$ , had 731 pronouns in his corpus. The child with the highest rate of ambiguity,  $12 \cdot 4\%$ , had only 460 pronouns spoken to him. The child with  $3 \cdot 5\%$  percent ambiguity had 1,118 pronouns in his corpus, while two other children with approximately that number of pronouns in their corpora (1,115 and 1,156) had much higher percentages of ambiguity ( $7 \cdot 4\%$  and  $9 \cdot 0\%$ , respectively). As was the case for English, there is no obvious cause for the variation in the levels of ambiguity. Rather it appears that the percentage of case ambiguous personal pronouns directed toward Germanacquiring children is fairly normally distributed along a continuum from very low to comparatively high (D(24)=0.13, p=0.20). Figure 2 shows this distribution. It can be immediately verified, by comparing Figures 1 and 2, that the distribution of ambiguous case forms in the input of personal pronouns across the two languages is non-overlapping, with a large difference between the mean rate of ambiguous pronoun input.

## German definite and indefinite articles

In stark contrast to the quite low levels of case-ambiguous personal pronouns in the speech to German-acquiring children is the high level of case-ambiguous articles in the speech directed to these children (Tables 9 and 10 provide a breakdown of these data). The same corpora which yielded the above data for personal pronouns yielded the following for definite and

German definite articles							
Article	# of uses	% of total					
das	20,132	44:4%					
die	12,822	28.3%					
der	7,483	16.5%					
den	3,765	8.3%					
dem	1,151	2.5%					
Total	45,353						

TABLES 9-10. German articles spoken to children by caregivers

Article

ein

eine

einen

einer

einem

Total

German indefinite articles

% of total

63.1%

28·0%

4.9%

3.1%

0.9%

# of uses

4,604

2,042

360

225

7,293

62

NOTE: Forms in bold treated as ambiguous.



Fig. 2. Distribution of case-ambiguous pronouns in caregiver child-directed German.

indefinite articles. Caregivers used a total of 52,646 articles, of which 39,600, or 75.3%, were ambiguous. Data from all adult tiers of the corpora yielded 84,785 articles, of which 61,908, or 73.0%, were ambiguous. The percentage of case-ambiguous articles in the speech directed to these children ranged from a low of 68.4% to a high of 86%. For caregivers only, the average rate of ambiguity was 77.2%, while for all adults it was 76.5%. See Appendix III for these data.

Figure 3 shows that the percentage of case-ambiguous articles in childdirected speech is fairly normal, as does the K-S test of normality (D(24)=0.08, p=0.20). However, whereas the range for percentage of ambiguity for German personal pronouns began at only slightly above zero,



Fig. 3. Distribution of case-ambiguous articles in caregiver child-directed German.

the bottom end of the continuum for the distribution of article ambiguity begins at close to 70%. Thus the ranges are completely non-overlapping, with an enormous difference in central tendencies.

## Other German determiners

The other determiners analyzed for German showed even higher levels of ambiguity than did the definite and indefinite articles. Only 2,764 of these words were found in the corpora, compared to nearly 53,000 articles, but of these 2,397, or 86.7%, were ambiguous. Tables 11–16 provide a breakdown of the data.

In the speech of caregivers, ambiguity ranged from a low of  $82 \cdot 0\%$  to a high of  $93 \cdot 7\%$ . Figure 4 provides a bar graph of the distribution of ambiguous forms in caregiver child-directed speech. This distribution appears less normal than the previous distributions. However, a K-S test of normality indicates that it does not differ significantly from a normal distribution (D(24)=0.13, p=0.20). See Appendix IV for these data.

## DISCUSSION

The facts to be accounted for are these: (a) pronominal case errors are a common feature of child English; (b) they are not a common feature of child German; but (c) article case errors are a common feature of child

k	ein 'no, none'		de	iser 'this, these	e'
Determiner	# of uses	% of total	Determiner	# of uses	% of total
kein	493	45.0%	diese	161	<b>43·8</b> %
keine	453	42.3%	dieses	76	20.7%
keiner	73	6.7%	dieser	57	15.5%
keinen	66	6.0%	diesen	45	12.2%
keinem	0	0.0%	diesem	29	7.9%
Total	1,096		Total	368	
w	elcher 'which'			jeder 'each'	
Determiner	# of uses	% of total	Determiner	# of uses	% of total
welche	111	51.2%	jeder	18	31.03%
welches	70	32.3%	jeden	17	29.31%
welcher	18	8.3%	jede	15	25.86%
welchen	14	6.5%	jedem	4	6.90%
welchem	4	1·8%	jedes	4	<b>6·90</b> %
Total	217		Total	58	
	alle 'all'			viele 'many'	
Determiner	# of uses	% of total	Determiner	# of uses	% of total
alle	753	98·4%	viele	250	<b>96</b> •1%
allen	I 2	1.6%	vielen	10	3.9%
Total	765		Total	260	

TABLES 11-16. Other German determiners spoken to children by caregivers

NOTE: Forms in bold treated as ambiguous.

German. The literature indicates that structure, competition and paradigm building may all play a role in case acquisition, but regarding case errors, the data suggest that ambiguity may play a crucial role.

#### Why not syntax?

At a time when English-speaking children are making pronoun case errors, we might suggest, as many have (Radford, 1990; Vainikka, 1994; Schütze, 1997; Wexler *et al.*, 1998), that the problem arises from grammatical structure. If we accept the claim that the grammars of all languages are constrained by some universal grammar, then we expect that at some point in their acquisition, German-speaking children should have the same



Fig. 4. Distribution of case-ambiguous determiners in caregiver child-directed German.

problem with structure as English-acquiring children. Further, if German-acquiring children have the same problematic grammatical structure that causes English-acquiring children to make pronoun case errors, we should expect German children to make pronoun case errors just as English-speaking children do. If, as has been claimed, nominative is the default case for German, then we should expect to find few examples of case errors in nominative contexts and more numerous pronoun case errors in accusative and dative contexts. However, occasionally we should find German-acquiring children who overextend non-default cases (i.e. accusative/dative case) to default contexts (i.e. nominative contexts), just as we find that some English-speaking children do. However, contrary to these expectations, pronoun case errors in the speech of children acquiring German have not been reported with any significant frequency, and such errors do not appear to be typical of German child language. Hence, a simple structural explanation for the presence of pronominal case errors in English and their lack in German lacks strong empirical support. Some other factor must be involved. The input data suggest that that factor may be ambiguity.

## If ambiguity, then how?

The difference between the level of ambiguity in the pronouns directed to English- and German-speaking children is enormous, and it does not

stretch the imagination to suppose that this factor could be responsible for pronominal case errors in English and their absence in German. This explanation is all the more plausible given the inadequacy of a structural account, and its feasibility is further bolstered by the fact that the differing levels of pronoun and article ambiguity in German accurately predict German-speaking children's differing competence with case-marked pronouns and articles. Indeed, the fact that the Input Ambiguity hypothesis provides a unitary explanation for both the differences between English and German and the differences within German itself strongly recommends it as a viable explanation for children's case errors. Despite the clear explanatory value of the hypothesis, the data herein only provide broad correlational evidence in support of the hypothesis. However, if ambiguity were to cause case errors, how might it do so?

I propose the following. When input to children is highly ambiguous, children are essentially blinded to the case distinctions by the overwhelming ambiguity present in the system as a whole. This case blindness occurs as a natural result of the fact that humans have limited attentional resources. Consider the task children face when acquiring language. They are bombarded with linguistic input and must somehow allocate their attention so they can learn the language to which they are exposed. Attending to aspects of the input that are highly ambiguous would be a waste of children's limited attentional resources, in that no important difference in meaning appears to be conveyed by such input. Consequently, ambiguous input does not initially win children's attention. When ambiguity is low, as with German personal pronouns, case distinctions are highly visible and easily acquired. However, when ambiguity is high, as with English personal pronouns and German articles and determiners, it overwhelms the few case distinct forms, blinding children to the case distinctions, causing them to ignore the few case distinct forms, and leading to error.<sup>6</sup>

Eventually though, even ambiguous input must reach a level of activation that captures children's attention. However, if children are blinded to case distinctions by ambiguity in the personal pronoun/determiner systems of their languages, English and German children may not initially posit a place for case-distinct forms within the gender and number paradigms of those systems. And even if they have posited such a place, having initially erred in their use of case, they would certainly have trouble placing correct case

<sup>[6]</sup> Given this hypothesis, one might expect an early stage during which children would randomly use forms of determiners and pronouns. However, neither the literature nor the data attest such a stage. The reason for this is quite simple; in order to randomly supply determiners and pronouns, children must have learned all the determiner and pronominal forms. However, at early stages, children do not appear to have learned all the forms.

forms in their proper places. At this point, Bates and MacWhinney's Competition Model provides an answer for how children might come to see the distinctions that ambiguity might previously have obscured, i.e. through competition. As children master various aspects of the grammar of their language, production becomes more automatic, thus freeing up attentional resources that allow children to recognize the morphosyntactic associations that had previously escaped their notice.

It is important to point out that while competition may be responsible for resolving case errors, it does not predict that ambiguity would cause case errors in the way posited here. Under the Competition Model, grammar is built up as children map INDIVIDUAL form/function relations. If ambiguity is responsible for case errors, as it may prove to be in English and German, then it is because the pattern of ambiguity within categories of lexical items, not associations between individual lexical items and their functions, serves to lead children astray. In this way, the Input Ambiguity hypothesis suggests a top-down aspect to case acquisition that is incompatible with the bottom-up Competition Model.

Nonetheless, these hypotheses are not irreconcilable. Were the Competition Model to incorporate ambiguity as a factor in calculating cue validity, this could reconcile the apparently contradictory top-down/ bottom-up aspects of the hypotheses. In this case, cue validity would be calculated as (cue reliability  $\times$  cue availability  $\times$  overall ambiguity), where the ambiguity variable is calculated as I minus the percentage of ambiguity. Then the problem becomes defining precisely how the effect of ambiguity declines over time to allow cue validity to rise, such that children eventually learn the distinctions present in their language.

It should be noted that the Input Ambiguity hypothesis only predicts whether case errors should be a common aspect of child speech in a given language. It has nothing to say about the form such errors should take. For that, a hypothesis like Rispoli's (1994) Paradigm Building would be required. While the proposed cause of case errors under Paradigm Building and the present hypothesis is fundamentally different, these hypotheses need not be regarded as inherently contradictory. Indeed, if/once children assume that one form should represent each gender/number paradigm, it would seem reasonable that the best candidate for that form would be one containing a phonetic core. Hence, while the present hypothesis only predicts whether errors should be a common aspect of child speech in a given language, Paradigm Building provides predictions about what those errors should look like if ambiguity causes them in the first place.

Like the Competion Model and Paradigm Building hypothesis, Szagun's hypothesis is equally compatible with the present hypothesis. Indeed, even if ambiguity is primarily responsible for the presence or lack of case errors, which, though plausible is far from an established truth, low discriminability and overall frequency of particular forms could clearly add to the effect of ambiguity.

Likewise, syntactic theories of case acquisition are compatible with the present hypothesis in that case assignment cannot occur absent the requisite syntactic structure. However, given the ease with which German children appear to master case in the pronominal system, it may be that the problems English-/German-speaking children have with pronominal/article case have little to do with under-articulated structure and much to do with the ambiguous morphology that encodes case in particular systems.

## Evidence from other languages

Japanese. An example of a language containing considerable ambiguity in its case system is Japanese. In Japanese, the particle -ga marks the subjects of transitive and intransitive verbs. The particle -o marks most direct objects, but -ga marks objects of stative verbs. The dative particle -nimarks indirect objects and locative arguments, but this particle also marks the subjects of some stative verbs. Adding to the problem of ambiguity is noun phrase and case particle ellipsis. Not only are noun phrases and particles often dropped in Japanese, in speech to children case particles are dropped even more frequently than they would be in adult conversation (Clancy, 1985: 387). Given case ambiguity in Japanese, the Input Ambiguity hypothesis would predict that case errors would be a common feature of Japanese children's speech, and the data seem to indicate that this is so.

Most researchers, usually citing Clancy (1985), state that Japanese children acquire case with little difficulty. However, reports of Japanese children's case errors suggest this is inaccurate. Furthermore, while Clancy (p. 387) states, 'In general, Japanese children acquire case particles early and without much apparent difficulty', she later states, 'Japanese children have difficulty acquiring the case-marking for transitive events' (p. 389). The literature contains numerous reports supporting the latter statement. The most frequently reported case errors are substitutions of -ga for -o (Takahashi, 1975, Fujimoto, 1977, Fujiwara, 1977, all cited in Clancy, 1985; Yokoyama, 1997, cited in Suzuki, 1999; Morikawa, 1989; Suzuki, 1999). However, there are also reports of Japanese children substituting -o for -ga (Clancy, 1985; Yokoyama, 1997, cited in Suzuki, 1999), -ga for -ni (Fujiwara, 1977, cited in Clancy, 1985; Morikawa, 1989; Ito, 1990, cited in Suzuki, 1999; Cho, Lee, O'Grady, Song & Suzuki, 1998, cited in Suzuki, 1999), -*ni* for -ga (Sanches, 1968, cited in Clancy, 1985; Morikawa, 1989; Suzuki, 1999), and -ni for -o (Suzuki, 1999).

These numerous reports of Japanese children's case errors are in stark contrast to the dearth of reports of pronominal case errors by German

	Case	Nominative	Accusative	Dative	Genitive	Locative	Ablative
Affix	All contexts except as noted	Ø	-i/ü/ı/u*	-e/a*	-in/ün/ın/un*	-de/da	-den/dan
	post- vocalic		-yi/yü/yı/yu	-ye/ya	-nin/nün/nın/nun		
	after ç, f, h, k, p, s, ş, t					-te/ta	-ten/tan

TABLE 17. Turkish case affixes

\* For words ending in -k, change -k to -ğ before adding the affix.

children. Furthermore, in controlled experiments by Suzuki (1999), children age 3;0 to 6;1 manifested case errors in as many as 50.8% of their utterances. Suzuki notes, 'One [important finding] is that case-marking errors were not rare at all' (p. 77), something that may not always be obvious in children's spontaneous speech, perhaps due to noun phrase and particle ellipsis. Given the foregoing, the Input Ambiguity hypothesis appears to be supported by the data from Japanese.

*Turkish*. In Turkish, pronouns, nouns, demonstratives and question words are inflected according to extremely regular patterns of affixation, and are marked for accusative, dative, genitive, locative and ablative case, while nominative case is unmarked (Kornfilt, 1994). Though the Turkish case system is quite elaborate, it contains no ambiguity of case forms, as Table 17 shows.

Accordingly, the Input Ambiguity hypothesis would predict that case errors would not be typical in the speech of children acquiring Turkish. One might nonetheless expect children to struggle with Turkish case, given the number of cases children must master. However, the exact opposite appears true. Indeed, Aksu-Koc & Slobin (1985) state, 'The inflectional system appears early, and the entire set of noun inflections is mastered by 24 months or earlier ... Both noun and verb inflections are present in the one-word stage, and there is evidence for productive use as young as 15 months' (p. 845). Regarding Turkish children's morphological errors, they later state, 'Turkish child speech is almost entirely free of error' (p. 854). Aksu-Koc & Slobin do note that children make some morphological errors of the sort where they occasionally fail to change the stem-final -k to  $-\breve{g}$ before suffixes beginning with vowels. However, such mistakes are clearly not case errors. Just as there is a paucity of pronominal case errors reported for German-acquiring children, the literature on Turkish indicates that children do not err with case, exactly as the Input Ambiguity hypothesis would predict.

#### Input Ambiguity hypothesis revisited

This hypothesis is intended to be a general one, applying to languages in general and to the 'typical' child speaker of a language. Under this hypothesis, there is a threshold of ambiguity beyond which children are initially blinded to case distinctions, with the result that their speech generally manifests case errors, but beneath which their speech is generally free of such errors. Establishing whether ambiguity causes case errors, and if so at what level it begins to do so, requires much additional research. However, the present data provide ample evidence that the hypothesis is plausible, and as such it should be investigated further.

#### Future research

One might suppose that a simple analysis comparing individual children's case error rate with the percentage ambiguity in the input to those individual children would provide evidence to either support or refute this hypothesis. While such analyses might prove useful, they might simply prove inconclusive, because if there is a threshold of ambiguity, then well above or below that threshold children's error rates might be unaffected. Though a correlation might exist, the absence of such a correlation would not refute the hypothesis. Even so, it would be useful for future research to include this kind of analysis.

Another possible correlation between individual children's performance with case and the level of case ambiguity in the speech directed to those children that should be investigated is the duration of case errors in child speech. Even well above a threshold of ambiguity, there might be a reliable correlation between the duration of individual children's case errors and the level of ambiguity, with case errors enduring longer at higher rates of ambiguity and resolving more quickly at lower rates of ambiguity. Certainly, future research should investigate this possibility.

Though analyses seeking reliable correlations between individual children's case errors and the ambiguity of the input to those children is desirable, the most fruitful direction for future research lies in analyzing other languages. Ideally, analysis of case ambiguity in child-directed speech should be carried out for numerous languages and compared to the typical course of acquisition for those languages. If the hypothesis is correct, then it should be impossible to find a language with high rates of case ambiguity in child-directed speech where children's languages where ambiguity falls between the very low rates found for German pronouns and the quite high rates found for English pronouns and German articles/determiners could help to establish if a threshold of ambiguity exists and if so exactly where it falls.

#### When do children acquire case?

It has long been acknowledged that adults' language competence and performance are not identical, and this may be even truer for children acquiring language than it is for adults. The present investigation brings this possibility into sharp relief by noting just how inaccurately production may reflect knowledge. German children's highly accurate performance with case-marked pronouns seems to indicate that they do actually 'know' case from very early on. However, their frequent case errors with articles would seem to indicate just the opposite. In short, correct case becomes a characteristic of their production in one part of their grammar, but does not immediately generalize to another, a fairly 'mosaic' form of acquisition (Rispoli, 1991).

This raises interesting and important questions. At a time when German children can correctly supply case-marked forms of pronouns, should they be regarded as having acquired case? Perhaps their apparent competence with pronominal case does not represent case acquisition at all, but rather lexical associations within fixed frames. If we regard German children as having acquired pronominal case when they are still making errors with articles, have they also acquired article case but simply lack the morphology to encode it, or is the problem one of syntactic structure? Is it possible that children can acquire case in one system of their language while lacking it in another? If so, how would we expect children's grammars to require casemarking in one system while allowing for its absence in another? Would we suggest separate syntactic structures for these systems which would, at some point, converge? The answers to these questions are not at all clear, and much work will be required if we hope provide definitive answers to any of them.

Regarding German children, it seems that they may, indeed, 'know' case from quite early on, so perhaps their difficulty with articles is purely an issue of morphological learning. Fertile ground for investigating the relationship between structural and morphological case-marking lies in investigating German children's acquisition of nominative/accusative case with pronouns, and comparing their behavior with Clahsen *et al.*'s (1994) model, which would predict that children should acquire accusative case before nominative case. If their hypothesis is upheld by the data, this would support the idea that spec-head relations are not provided by UG and must be learned. This, in turn, would suggest that the problem with case on articles is initially one of structure; after such time as DP structure becomes transparently present in children's speech, remaining problems would clearly be problems of morphological case. Another way in which researchers might tease apart syntactic and morphological case in German would be by investigating children's pronominal versus their prenominal use of determiners. Were children's

language to contain few errors with pronominally used determiners while containing frequent errors with prenominally used determiners, this would suggest that the problem with prenominal determiners is a problem of syntactic case. If, on the other hand, children demonstrated the same types of case errors with pronominal and prenominal determiners, it would indicate that the problem is certainly one of morphological case, but perhaps also one of syntactic case.

#### CONCLUSION

The questions posed herein are exceedingly important, and the disconnect between German and English children's behavior with pronominal case and German children's behavior with pronominal versus article case provide rich environments for important work in teasing apart competence and performance and the roles of structure, morphology and input in case acquisition. However, until such work is undertaken, we would be wise to keep in mind the fact that we lack definitive answers to these fundamental questions, and the presence of these unanswered questions should serve as a cautionary note regarding our interpretations of children's linguistic behavior with case in particular and language in general. In the meantime, the results of the present study are consistent with several interpretations. Regardless of one's theoretical bent, the data strongly suggest that understanding the role input and ambiguity play in acquisition is crucial in understanding cross-linguistic and intra-language results.

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Englis	h-speaking	children			All adults		С	aregivers of	nly	
Age range	Country	# of files	Child	# ambig.	Total	% ambig.	# ambig.	Total	% ambig.	
1;10.7 to 2;9.10	UK	68	Anne	9,236	17,376	53.2%	9,017	16,951	53.2%	
1;11.16 to 3;0.3	USA	38	Nina	9,669	16,991	56.9%	9,529	16,681	57.1%	
1;10.20 to 2;8.7	USA	10	Lew	2,830	4,928	57.4%	2,815	4,905	57.4%	
1;4.21 to 2;10	USA	6	Allison	656	1,116	58.8%	656	1,116	58·8%	
1;7.18 to 2;5.8	USA	10	She	1,345	2,253	59.7%	1,318	2,202	59.9%	
1;11.9 to 2;10.18	UK	68	Liz	6,611	10,909	60.6%	6,100	10,110	60.3%	
2;0.7 to 2;11.15	UK	68	Becky	8,715	14,449	60.3%	8,202	13,567	60.5%	
1;11.12 to 2;10.28	UK	66	Aran	15,531	25,428	61.1%	15,257	24,939	61.2%	
1;11.27 to 2;11.12	UK	68	Gail	9,826	15,867	61.9%	9,208	14,851	62.0%	
1;7.5 to 2;5.3	USA	10	Tow	2,283	3,666	62.3%	2,264	3,642	62.2%	
1;11.1 to 2;10.11	UK	68	Joel	9,687	15,635	62.0%	8,557	13,760	62.2%	
1;11.15 to 2;11.21	UK	65	Ruth	11,449	18,429	62.1%	11,160	17,923	62.3%	M
2;2.24 to 3;0.7	USA	61	Abe	4,357	6,948	62.7%	4,256	6,786	62.7%	Mean = 63.3
2;2.16 to 3;0.5	USA	41	Shem	10,408	19,369	53.7%	1,101	1,755	62.7%	•
1;10.06 to 2;9.20	UK	68	Warr	8,596	13,512	63.6%	8,596	13,512	63.6%	
1;3.0 to 1;9.0	USA	13	June	1,506	2,355	63.9%	1,505	2,352	64.0%	
1;10.25 to 2;10.16	UK	68	Domin	11,555	17,774	65.0%	11,172	17,230	64.8%	
1;8.22 to 2;8.15	UK	33	Carl	3,228	4,962	65.1%	3,161	4,850	65.2%	
1;11.15 to 2;10.24	. UK	64	John	6,686	10,145	65.9%	6,208	9,355	66.4%	
2;0.25 to 3;0.10	UK	68	Nic	11,694	17,443	67.0%	10,899	16,227	67.2%	
1;6.0 to 2;3.0	USA	20	Eve	5,022	7,451	67.4%	3,970	5,746	69·1 %	
2;3.19 to 3;0.18	USA	38	Sarah	3,645	5,172	70.5%	3,333	4,694	71.0%	
1;9.8 to 2;10.19	USA	19	Peter	11,875	17,450	68.1%	1,516	2,110	71.8%	
2;3.4 to 3;0.0	USA	19	Adam	3,684	5,076	72.6%	2,976	4,092	72.7%	
			Totals	170,094	274,704	61.9%	142,776	229,356	62·3%	
	Average	e of % for ea	ich child			62.6%			63·3%	

APPENDIX I: DATA FOR ENGLISH-ACQUIRING CHILDREN

German-speaking children			All adults			Caregivers only			
Age range	# of files	Child	# ambig.	Total	% ambig.	# ambig.	Total	% ambig.	
;4.0 to 2;10.14	5	Mio	25	765	3.3%	22	731	3.0%	
;4.0 to 2;10.14	5	Sio	52	1,323	3.9%	39	1,118	3.2%	
;4.0 to 3;0.0	16	Soe	90	1,992	4.2%	60	1,669	3.6%	
;4.0 to 2;10.14	5	Ina	73	1,301	5.6%	42	1,015	4.1%	
;4.0 to 2;10.14	5	Sia	71	1,198	5.9%	50	884	5.7%	
;4.0 to 2;10.14	7	Mar	51	828	6.2%	40	707	5.7%	
;4.0 to 2;10.14	5	Cel	47	691	6.8%	37	628	5.9%	
;4.0 to 2;10.14	5	Leo	74	1,152	6.4%	66	1,029	6.4%	
;4.0 to 2;10.14	5	Lon	97	1,128	8.6%	60	824	7.3%	
;4.0 to 3;0.0	16	Fal	149	2,109	7.1%	82	1,115	7.4%	
;4.0 to 3;0.0	16	Lis	169	2,276	7.4%	158	2,125	7.4%	
;4.0 to 2;10.14	5	Ems	51	636	8.0%	37	496	7.5%	Mean = 7.6%
;4.0 to 2;10.14	5	Mal	78	1,061	7.4%	77	996	7.7%	•
;4.0 to 2;10.14	5	Isa	67	736	9.1%	47	578	8.1%	
;4.0 to 2;10.14	5	Nee	73	871	8.4%	65	768	8.5%	
;4.0 to 2;10.14	5	Lui	104	1,221	8.5%	90	1,062	8.5%	
;4.0 to 3;0.0	16	Rah	150	1,858	8.1%	135	1,571	8.6%	
; 3.22 to 2; 10.27	37	Kerstin	1,175	9,609	12.2%	201	2,266	8.9%	
;4.0 to 2;10.14	5	Fin	111	1,205	9.2%	104	1,156	9.0%	
;4.0 to 3;0.0	16	Ann	272	2,696	10.1%	159	1,676	9.5%	
;4.0 to 3;0.0	16	Eme	193	1,922	10.0%	127	1,219	10.4%	
;9.11 to 3;0.24	57	Simone	1,789	14,605	12.2%	699	6,275	11.1%	
;4.0 to 2;10.14	5	Kon	101	938	10.8%	99	875	11.3%	
;4.0 to 2;5.07	4	Jor	64	522	12.3%	57	460	12.4%	
		Totals	5,126	52,643	9.7%	2,556	31,243	8.2%	
Average of % for each child					8·o%			7.6%	

APPENDIX II: DATA FOR GERMAN-ACQUIRING CHILDREN – PERSONAL PRONOUNS

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German-speaking children		All adults			Caregivers only				
range	# of files	Child	# ambig.	Total	% ambig.	# ambig.	Total	% ambig.	
11 to 3;0.24	57	Simone	17,282	25,032	69·0%	7,007	10,237	68.4%	
22 to 2;10.27	35	Kerstin	7,076	10,336	68.5%	1,370	1,965	69.7%	
0 to 2;10.14	5	Mal	1,018	1,424	71.5%	962	1,341	71.7%	
o to 3;0.0	16	Fal	3,317	4,781	69.4%	2,162	3,009	71.9%	
0 to 2;10.14	5	Lui	1,600	2,177	73.5%	1,419	1,942	73.1%	
0 to 2;10.14	5	Leo	1,273	1,759	72.4%	1,098	1,491	73.6%	
0 to 2;10.14	5	Sio	1,037	1,412	73.4%	881	1,191	74.0%	
o to 3;0.0	16	Eme	2,471	3,353	73.7%	1,570	2,089	75.2%	
o to 3;0.0	16	Ann	3,965	5,430	73.0%	2,881	3,811	75.6%	
0 to 2;10.14	5	Fin	1,674	2,215	75.6%	1,620	2,142	75.6%	
0 to 2;10.14	5	Kon	1,424	1,881	75.7%	1,351	1,779	75.9%	
0 to 2;10.14	5	Mio	1,138	1,494	76.2%	1,104	1,445	76.4%	<b>Nf</b> = = = = = = 0
0 to 2;10.14	5	Nee	1,080	1,407	76.8%	970	1,265	76.7%	Mean = 77.0%
o to 3;0.0	16	Rah	2,672	3,446	77.5%	2,276	2,945	77.3%	•
o to 2;10.14	7	Mar	1,260	1,619	77.8%	1,135	1,448	78.4%	
o to 2;10.14	5	Sia	1,317	1,686	78.1%	1,048	1,331	78.7%	
o to 3;0.0	16	Soe	2,734	3,482	78.5%	2,314	2,929	79.0%	
o to 2;10.14	5	Cel	1,007	1,286	78.3%	961	1,216	79·0%	
o to 2;10.14	5	Lon	1,520	1,954	77.8%	1,196	1,483	80.6%	
o to 3;0.0	16	Lis	2,407	2,981	80.7%	2,262	2,795	80.9%	
o to 2;10.14	5	Ina	1,666	2,035	81.9%	1,387	1,676	82.8%	
o to 2;5.07	4	Jor	903	1,106	81.6%	819	985	83.1%	
o to 2;10.14	5	Isa	1,411	1,711	82.5%	1,256	1,490	84.3%	
0 to 2;10.14	5	Ems	656	778	84.3%	551	641	86·o%	
		Totals	61,908	84,785	73.0%	39,600	52,646	75.2%	
Average of % for each child					76.2%			77.0%	

# APPENDIX III: DATA FOR GERMAN-ACQUIRING CHILDREN – DEFINITE AND INDEFINITE ARTICLES

German-speaking children		All adults			Caregivers only				
Age range	# of files	Child	# ambig.	Total	% ambig.	# ambig.	Total	% ambig.	
2;3.4 to 3;0.0	5	Leo	59	72	81.9%	52	65	80·0%	
;11.9 to 2;10.18	5	Fin	38	42	90.5%	93	114	81.6%	
2;0.7 to 2;11.15	57	Simone	1137	1377	82.6%	483	588	82.1%	
;3.0 to 1;9.0	5	Lui	92	110	83.6%	79	95	83.2%	
;2.29 to 2;11.24	5	Nee	63	75	84.0%	55	66	83.3%	
; 10.20 to 2;8.7	5	Mal	53	62	85.5%	44	52	84.6%	
1;7.18 to 2;5.8	5	Sia	69	82	84.1 %	66	78	84.6%	
;11.27 to 2;11.12	16	Eme	177	206	85.9%	121	142	85.2%	
;8.22 to 2;8.15	16	Ann	221	260	85.0%	146	171	85.4%	
;7.5 to 2;5.3	16	Soe	III	136	81.6%	94	110	85.5%	
2;2.24 to 3;0.7	5	Kon	117	132	88.6%	105	120	87.5%	Mean = 87.8%
;11.12 to 2;10.28	34	Kerstin	424	498	85.1%	107	121	88.4%	
;9.8 to 2;10.19	5	Mio	63	71	88.7%	62	70	88.6%	
2;2.16 to 3;0.5	5	Sio	76	88	86.4%	64	72	88.9%	
1;11.16 to 3;0.3	7	Mar	70	8o	87.5%	67	75	89.3%	
;11.15 to 2;10.24	16	Fal	156	171	91.2%	81	90	90·0%	
;4.21 to 2;10.0	16	Lis	141	156	90.4%	129	142	90.8%	
1;10.06 to 2;9.20	4	Jor	58	66	87.9%	52	57	91.2%	
2;3.19 to 3;0.18	16	Rah	128	143	89.5%	142	155	91.6%	
; 11.15 to 2; 11.21	5	Isa	69	75	92.0%	63	68	92.6%	
;11.1 to 2;10.11	5	Ems	36	39	92.3%	26	28	92.9%	
; 10.25 to 2; 10.16	5	Cel	79	86	91.9%	79	85	92.9%	
2;0.25 to 3;0.10	5	Ina	91	97	93.8%	69	74	93.2%	
;6.0 to 2;3.0	5	Lon	143	156	91.7%	118	126	93.7%	
		Totals	3671	4280	85.8%	2397	2764	86.7%	
Avera	ach child			87.6%			87.8%		

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## APPENDIX IV: DATA FOR GERMAN-ACQUIRING CHILDREN – OTHER DETERMINERS